



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/695,059	10/23/2000	Christopher K. Thomas	12026-2

Bereskin & Parr  
Box 401  
40 King Street West  
Toronto, ON M5H 3Y2  
CANADA



## FORMALITIES LETTER



\*OC000000005626161\*

Date Mailed 12/18/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/21/2001 TGEDAMU1 00000079 022095 09695059

01 FC:201 355.00 CH  
02 FC:205 65.00 CH

**Bereskin & Parr**Barristers and Solicitors/Patent and Trade Mark Agents  
Practice Restricted to Intellectual Property LawBhupinder S. Randhawa  
B.A.Sc. (Comp. Eng.), LL.B  
416 957 1624 brandhawa@bereskinparr.comYour Reference. 09/695,059  
Our Reference 12026-2

February 19, 2001

**Response to Notice to File Missing Parts of Application**Commissioner of Patents and Trademarks  
Washington, D.C.  
United States 20231

Dear Sir:

**Re: U.S. Patent Application No. 09/695,059**  
**For: METHOD FOR DETECTING ABERRANT BEHAVIOR**  
**OF A FINANCIAL INSTRUMENT**  
**Applicant: Measuredmarkets Inc.**

In response to the Notice to File Missing Parts dated December 18, 2000, we enclose the Small Entity Statement, a signed Declaration, an Assignment and \$460.00 owed by applicant. Also enclosed is an Application Data Sheet to be filed with the above-mentioned application. Please charge the fees owed against our Deposit Account No. 02-2095. The fees are itemized below:

04/23/2003 LWALDEN 00000002 022095 09695059

01 FC:2202


9.00 CH

Completion of Basic Filing Fee	\$355 00
Declaration Surcharge	\$ 65 00
Assignment Fee	\$ 40.00

<b>TOTAL</b>	<b>\$ 460.00</b>
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Please let us know if you require anything further.

Respectfully submitted,

  
 Bhupinder S. Randhawa  
 Reg. No 47,276